

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10693838
Filing Date	2003-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2456
Examiner Name	Kevin T. Bates
Attorney Docket Number	MS1-1778US

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

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**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20020156613	A1	2002-10-24	Geng, Scott; et al.	
	2	20040102924	A1	2004-05-27	Jarrell, Donald B.; et al.	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	EP1550969;(A2)			2005-07-06	IBM [US]+ (INTERNATIONAL BUSINESS MACHIN		<input type="checkbox"/>
	2	JP07006110	JA		1995-01-10	HITACHI LTD		<input type="checkbox"/>

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	3	JP08044677	JA		1996-02-16	TOSHIBA CORP		<input type="checkbox"/>
	4	JP09218842	JA		1997-08-19	FUJITSU LTD		<input type="checkbox"/>
	5	JP09247648	JA		1997-09-19	MATSUSHITA ELECTRIC IND CO		<input type="checkbox"/>
	6	JP10198642	JA		1998-07-31	FUJITSU LTD		<input type="checkbox"/>

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#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Translated copy of the Chinese Office Action mailed July 4, 2011 for Chinese patent application No. 200410032700.5, a counterpart foreign application of US patent No. 7,886,041	<input type="checkbox"/>
	2	Copy of the Indian Office Action mailed June 20, 2011 for Indian patent application No. 140/MUM/2004, a counterpart foreign application of US patent application No. 10/382,942	<input type="checkbox"/>
	3	Translated copy of the Japanese Office Action mailed June 17, 2011 for Japanese patent application No. 2004192284, a counterpart foreign application of US patent No. 7,636,917	<input type="checkbox"/>
	4	Copy of the Japanese Office Action mailed July 12, 2011 for Japanese patent application No. 2005-50844, a counterpart foreign application of US patent No. 7,778,422	<input type="checkbox"/>
	5	Translated copy of the Korean Office Action mailed June 24, 2011 for Korean patent application No. 10-2004-15343, a counterpart foreign application of US patent No. 7,890,543	<input type="checkbox"/>

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6	Copy of the Korean Office Action mailed June 29, 2011 for Korean application No. 10-2004-15027, a counterpart foreign application of US patent application No. 10/382,942	<input type="checkbox"/>
7	Copy of the Norwegian Office Action mailed March 4, 2011 for Norwegian patent application No. 20042744, a counterpart foreign application of US patent No. 7,636,917	<input type="checkbox"/>
8	Office Action for US Patent Application 11/107,420, mailed on May 11, 2011, Anders B. Vinberg, "Model Based System Monitoring"	<input type="checkbox"/>

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#### **EXAMINER SIGNATURE**

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Shirley L. Anderson/ Reg. No. 57,763	Date (YYYY-MM-DD)	2011-08-12
Name/Print	Shirley L. Anderson	Registration Number	57763

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**